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|---|----|--|----------|----------------------------------|----------------------------|---|-------------------------------|
| Form PTO 1449<br>(Modified)   |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>258785US0PCT  |                            | SERIAL NO.<br>New U.S. PCT Application<br>Based on PCT/EP03/03898 |                               |
| LIST OF REFERENCES CITED BY APPLICANT   |    |  |          | APPLICANT<br>Roland Ettl, et al. |                            |   |                               |
|   |    |  |          | FILING DATE<br>Herewith          |                            | GROUP   |                               |
| U.S. PATENT DOCUMENTS   |    |  |          |                                  |                            |   |                               |
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER   | DATE     | NAME                             | CLASS                      | SUB<br>CLASS  | FILING DATE<br>IF APPROPRIATE |
| PDM   | AA | 4 041 227  | 08/09/77 | URANECK, Carl A. et al.          |                            |   |                               |
| PDM   | AB | 4 003 871  | 01/18/77 | CONSTANZA, John R. et al.        |                            |   |                               |
|   | AC |  |          |                                  |                            |   |                               |
|   | AD |  |          |                                  |                            |   |                               |
|   | AE |  |          |                                  |                            |   |                               |
|   | AF |  |          |                                  |                            |   |                               |
|   | AG |  |          |                                  |                            |   |                               |
|   | AH |  |          |                                  |                            |   |                               |
|   | AI |  |          |                                  |                            |   |                               |
|   | AJ |  |          |                                  |                            |   |                               |
|   | AK |  |          |                                  |                            |   |                               |
|   | AL |  |          |                                  |                            |   |                               |
|   | AM |  |          |                                  |                            |   |                               |
|   | AN |  |          |                                  |                            |   |                               |
| FOREIGN PATENT DOCUMENTS  |    |  |          |                                  |                            |   |                               |
|   |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                          | TRANSLATION<br>YES      NO |   |                               |
| PDM   | AO | 0 408 099  | 01/16/91 | EP (equivalent of US 5147907)    |                            |   | NO                            |
| PDM   | AP | 58-115196  | 08/07/83 | JP (with English abstract)       |                            |   | NO                            |
| PDM   | AQ | 0 257 412  | 03/02/88 | EP (equivalent of US 4855343)    |                            |   | NO                            |
| PDM   | AR | 0 276 770  | 08/03/88 | EP (equivalent of US 4835212)    |                            |   | NO                            |
| PDM   | AS | 0 307 816  | 03/22/89 | EP (equivalent of US 4908240)    |                            |   | NO                            |
| PDM   | AT | 0 735 065  | 10/02/96 | EP (equivalent of US 5925722)    |                            |   | NO                            |
| PDM   | AU | 198 53 489   | 05/25/00 | DE (equivalent of US 6552120)    |                            |   | NO                            |
|   | AV |  |          |                                  |                            |   |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)   |    |  |          |                                  |                            |   |                               |
|   | AW |  |          |                                  |                            |   |                               |
|   | AX |  |          |                                  |                            |   |                               |
|   | AY |  |          |                                  |                            |   |                               |
|   | AZ |  |          |                                  |                            | <input type="checkbox"/> Additional References sheet(s) attached  |                               |
| Examiner /Peter Mulcahy/ (01/06/2007)   |    |  |          |                                  | Date Considered            |   |                               |
| <small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> |    |  |          |                                  |                            |   |                               |